3.3 – Concavity and the Second Derivative Test

Goal: To perform a second derivative test to determine points of inflection and whether functions are concave up or concave down.

We've seen that a function has a *critical point* at (a, f(a)) when ______.

Right now, to classify critical points, we need to check the behaviour of the original function around the critical point. Instead, we can use a **second derivative test** to easily classify critical points.

A function f(x) is said to be **concave** up if:

A function f(x) is said to be **concave** *down* if:

If f''(a) = 0,

The Second Derivative Test				
If $f'(a) = 0$ and $f''(a) > 0$				
• $f(x)$ is concave <i>up</i>				
• $(a, f(a))$ is a local <i>minimum</i>				
If $f'(a) = 0$ and $f''(a) < 0$				
• $f(x)$ is concave down				
• $(a, f(a))$ is a local maximum				
If $f''(x)$ changes sign at a ,				
• $(a, f(a))$ is an <i>inflection point</i>				
If $f'(a) = 0$ as well, then				
• $(a, f(a))$ is called a saddle point				

Example 1. (p.174 #7c) Find the critical points of the function $f(x) = x^4 - 6x^2 + 10$. Then, classify them using the second derivative test.

Example 2. (Similar to p.174 #5) Find the inflection points and the intervals of concavity for the function $f(x) = x^4 - 6x^2 - 5$.

Second Derivative Test:

Interval			
T.V.			
f''(x)			
f(x)			

Inflection Point(s):

f(x) is **concave** *up* (C.U.) when:

f(x) is **concave** *down* (C.U.) when:





Example 4. (p.174 #1b) For the graph shown to the right, identify the intervals over which the graph is concave up and the intervals over which it is concave down.



Date: _____

Example 5. (p.174 #2d,3d) Given the graph of f''(x), state the intervals of concavity for the function f(x). Also indicate where any inflection points occur for f(x). Then, sketch a possible graph of y = f(x).





IP - P. 173 #1-3, 5c, 6, 7